



Image 2817

PTO/SB/21 (08-00)

Approved for use through 10/31/2002. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

**TRANSMITTAL
FORM**

(to be used for all correspondence after initial filing)

Application Number

10/028,922

Filing Date

12/19/2001

First Named Inventor

Daniel HOFFMAN, et al.

Group Art Unit

2817

Examiner Name

Unknown

Total Number of Pages in This Submission

4

Attorney Docket Number

4097 P02

ENCLOSURES (check all that apply)☐

Fee Transmittal Form

☐

Fee Attached

☐

Amendment / Reply

☐

After Final

☐

Affidavits/declaration(s)

☐

Extension of Time Request

☐

Express Abandonment Request

☒

Information Disclosure Statement

☐

Certified Copy of Priority Document(s)

☐Response to Missing Parts/
Incomplete Application☐Response to Missing Parts
under 37 CFR 1.52 or 1.53☐Assignment Papers
(for an Application)☐

Drawing(s)

☐

Licensing-related Papers

☐

Petition

☐Petition to Convert to a
Provisional Application☐Power of Attorney, Revocation
Change of Correspondence
Address☐

Terminal Disclaimer

☐

Request for Refund

☐

CD, Number of CD(s) _____

☐After Allowance Communication
to Group☐Appeal Communication to Board
of Appeals and Interferences☐Appeal Communication to Group
(Appeal Notice, Brief, Reply Brief)☐

Proprietary Information

☐

Status Letter

☐Other Enclosure(s) (please
identify below):

Remarks

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENTFirm
or
Individual name

Robert M. Wallace Reg. No. 29,119

Signature

Date

11/06/2003

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Washington, DC 20231 on this date:

November 6, 2003

Typed or printed name

Shelly Hart

Signature

Date

11/06/2003

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

CERTIFICATE OF MAILING

I hereby certify that this paper and every
paper referred to therein as being enclosed
is deposited with the U.S. Postal Service
as first class mail, postage prepaid, in
an envelope addressed to Commissioner
for Patents, P.O. Box 1450, Alexandria, VA 22313-1450
on November 6, 2003 (Date of Deposit)

11-06-2003 Shelley Hart
Name

PATENT

Attorney Docket No. 4097 P02

RW No. APM/063-99-CP1

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:)
Daniel HOFFMAN, et al.) Group Art Unit: 2817
Entitled: PLASMA REACTOR WITH OVERHEAD) Examiner: Unknown
RF ELECTRODE TUNED TO THE PLASMA)
Serial No.: 10/028,922)
Filing Date: December 19, 2001)

INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR 1.97(b)

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

Attached hereto is Form PTO-1449 listing documents believed relevant to the subject application. It is respectfully requested that these documents be made of record and an initialed copy of each form be returned to the undersigned.

No representation is made that more pertinent material is not available or should not be considered by the Examiner. It is expected that the Patent and Trademark Office will independently conduct a complete search of appropriate art. Furthermore, no admission is being made that these documents are prior art, and applicant reserves the right to challenge any such consideration.

It is believed that this disclosure complies with the requirements of 37 CFR 1.56, 1.97, and 1.98, and the Manual of Patent Examining Procedures, section 609 and 707.05. If for some reason the Examiner considers otherwise, it is respectfully requested that the undersigned be called so that any deficiencies can be remedied.

A copy of each foreign patent document and/or non-patent literature is enclosed unless indicated otherwise. Some of the documents may have markings on them. No significance is meant to be attached to the markings. These documents are not necessarily analogous art.

Respectfully submitted,



Robert M. Wallace
Registration No. 29,119
Attorney for Applicants

Dated: November 6, 2003

Robert M. Wallace
Patent Counsel
2112 Eastman Avenue, Suite 102
Ventura, CA 93003
(805) 644-4035



INFORMATION
DISCLOSURE
STATEMENT BY APPLICANT

Sheet 1 of 1

Application Number: 10/028,922
Filing Date: December 19, 2001
First Named Inventor: Daniel HOFFMAN, et al.
Group Art Unit: 2817
Examiner Name: Unknown
Attorney Docket Number: 4097 P02

U. S. PATENT DOCUMENTS

| Examiner Initials | Document No | Publication Date | Name of Patentee or Applicant of Cited Document | Class | Subclass | Filing Date |
|----------------------|-----------------|------------------|---|-------|----------|-------------|
| | US-6,016,131 | 01-18-2000 | SATO ET AL. | 343 | 895 | 05-03-1999 |
| | US-6,218,312 B1 | 04-17-2001 | COLLINS ET AL. | 438 | 723 | 10-08-1998 |
| | US-6,462,481 B1 | 10-08-2002 | HOLLAND ET AL. | 315 | 111.21 | 07-06-2000 |
| | | | | | | |
| | | | | | | |
| | | | | | | |
| | | | | | | |
| | | | | | | |
| | | | | | | |

FOREIGN PATENT DOCUMENTS

| Examiner Initials | Foreign Patent Document | Publication Date | Country | Name of Patentee or Applicant of Cited Document | Translation? (Yes/No/n/a) |
|----------------------|----------------------------|------------------|---------|--|------------------------------|
| | | | | | |
| | | | | | |
| | | | | | |

NON PATENT LITERATURE DOCUMENTS

| Examiner Initials | |
|----------------------|--|
| | |
| | |
| | |

Examiner's Signature:

Date Considered:

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.